

## TITLE PAGE

**Title:**

EFFECT OF RETINOL AND  $\alpha$ -TOCOPHEROL SUPPLEMENTATION ON RETINAL PHOTORECEPTOR AND GANGLION CELL DENSITIES, INCLUDING THE EXPRESSION OF CASPASE-3 AND CASPASE-7 IN DIABETIC RATS MODEL

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# COVER LETTER

Date: 15<sup>th</sup> April 2022

To  
The Editor,  
**International Journal of Retina and Vitreous**

I am enclosing herewith a **revised manuscript** entitled:

## **EFFECT OF RETINOL AND $\alpha$ -TOCOPHEROL SUPPLEMENTATION ON PHOTORCEPTOR AND RETINAL GANGLION CELL APOPTOSIS IN DIABETIC RATS MODEL**

The aim of this paper is to examine the effect of retinol and  $\alpha$ -tocopherol compounds on photoreceptor and ganglion cell density, as well as the caspase-3 and -7 expression (apoptotic marker) in the retinal layers of the diabetic rat model. The advantage of this manuscript is it has some informative data regarding biocompound effect on ocular disease especially on the microvascular complication of diabetes. We hope that these results also meet the paper scope that required in this journal and it could be published and disseminated for the benefit of science. We are looking for possible evaluation and also publication in International Journal of Retina and Vitreous.

Submitted manuscript is an original article. The corresponding author of this manuscript is Andi Muhammad Ichsan (am\_ichsan@med.unhas.ac.id) and contribution of the authors as mentioned below:

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With the submission of this paper, I would like to undertake that:

1. All authors of this paper have directly participated in the planning, execution, or analysis of this study;
2. All authors of this paper have read and approved the final version submitted;
3. The contents of this manuscript have not been copyrighted or published previously;
4. The contents of this manuscript are not now under consideration for publication elsewhere;
5. The contents of this manuscript will not be copyrighted, submitted, or published elsewhere.
6. The authors state there is no conflict of interest in writing this article.

Thank you very much your kind attention.

Sincerely,

**Andi Muhammad Ichsan**

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2 A

### FIGURE

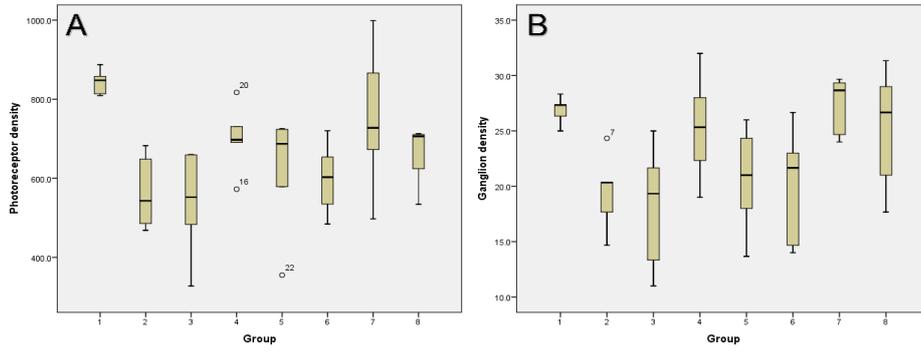
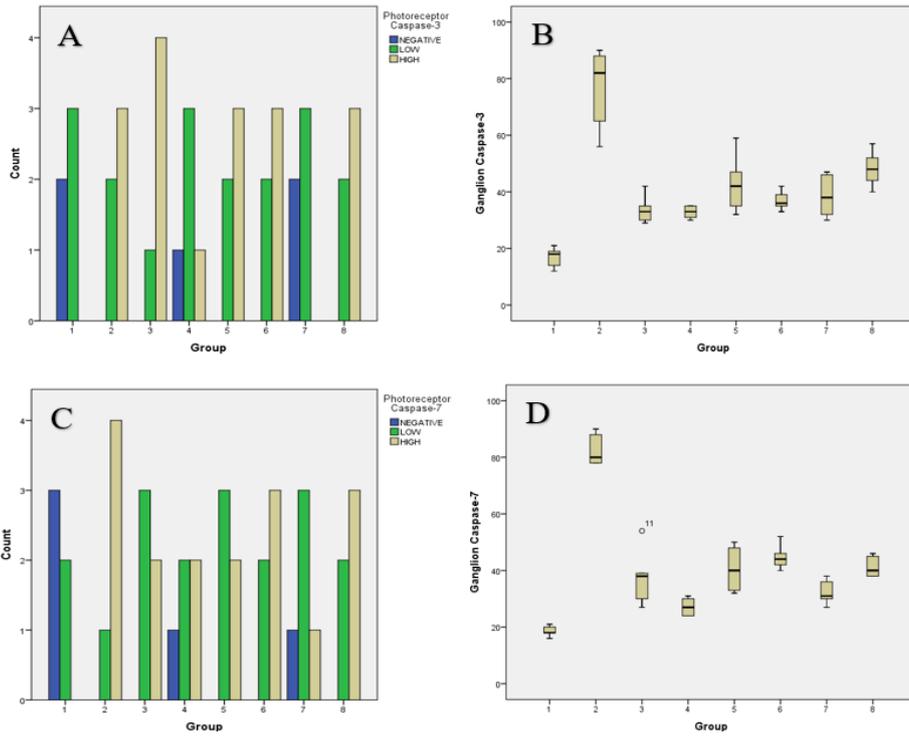


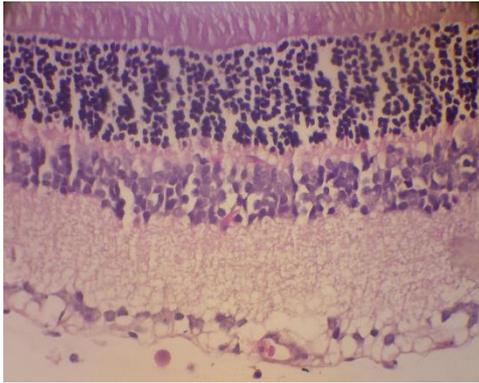
Figure. 1. A. Photoreceptor cells density; B. Ganglion cells density

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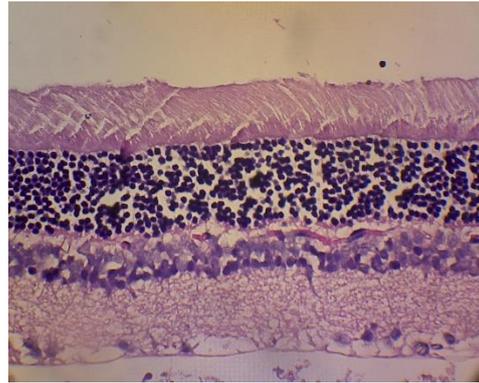
6 **B**



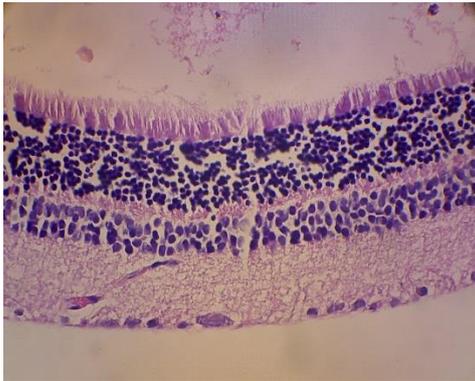
7  
8 **Figure.2.** A. Caspase-3-expression on photoreceptor cells B. Caspase-3-expression on  
9 ganglion cells; C. Caspase-7-expression on photoreceptor cells D. Caspase-7-expression on  
10 ganglion cells.  
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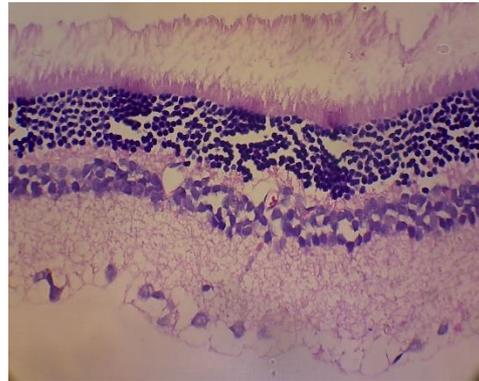
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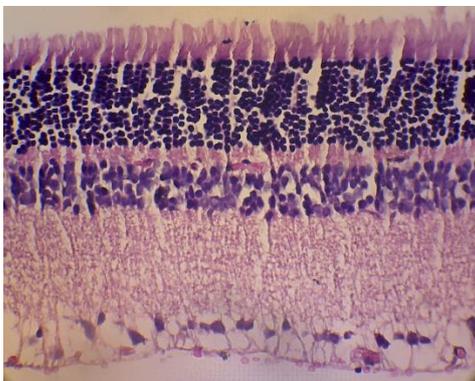
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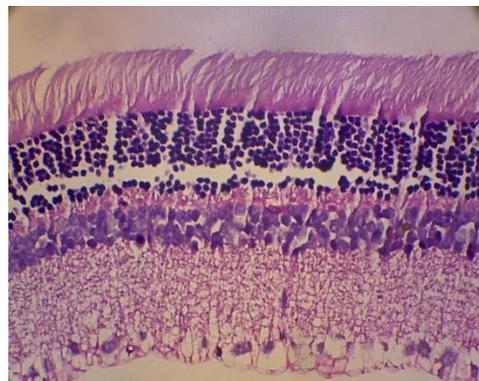
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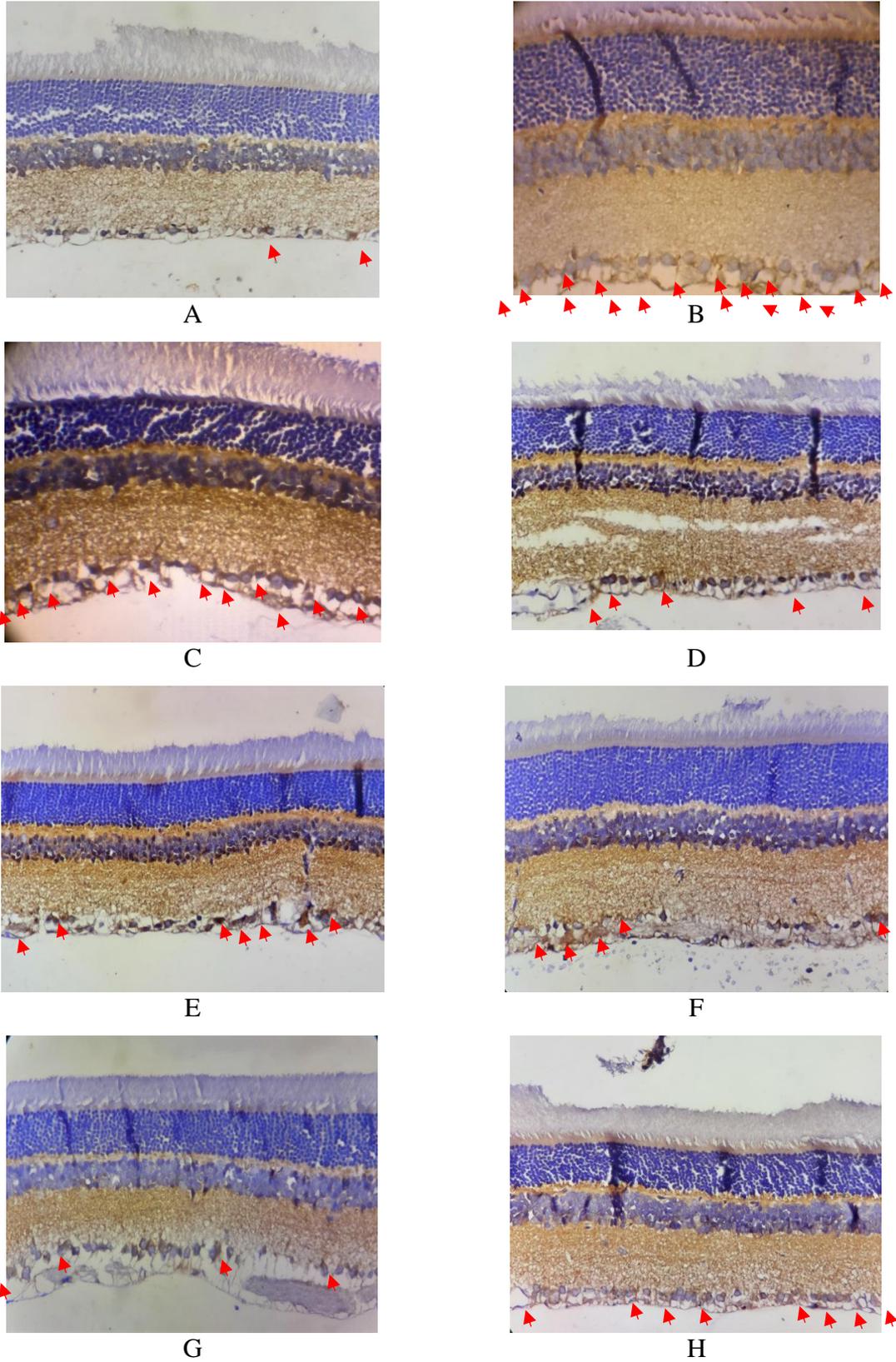


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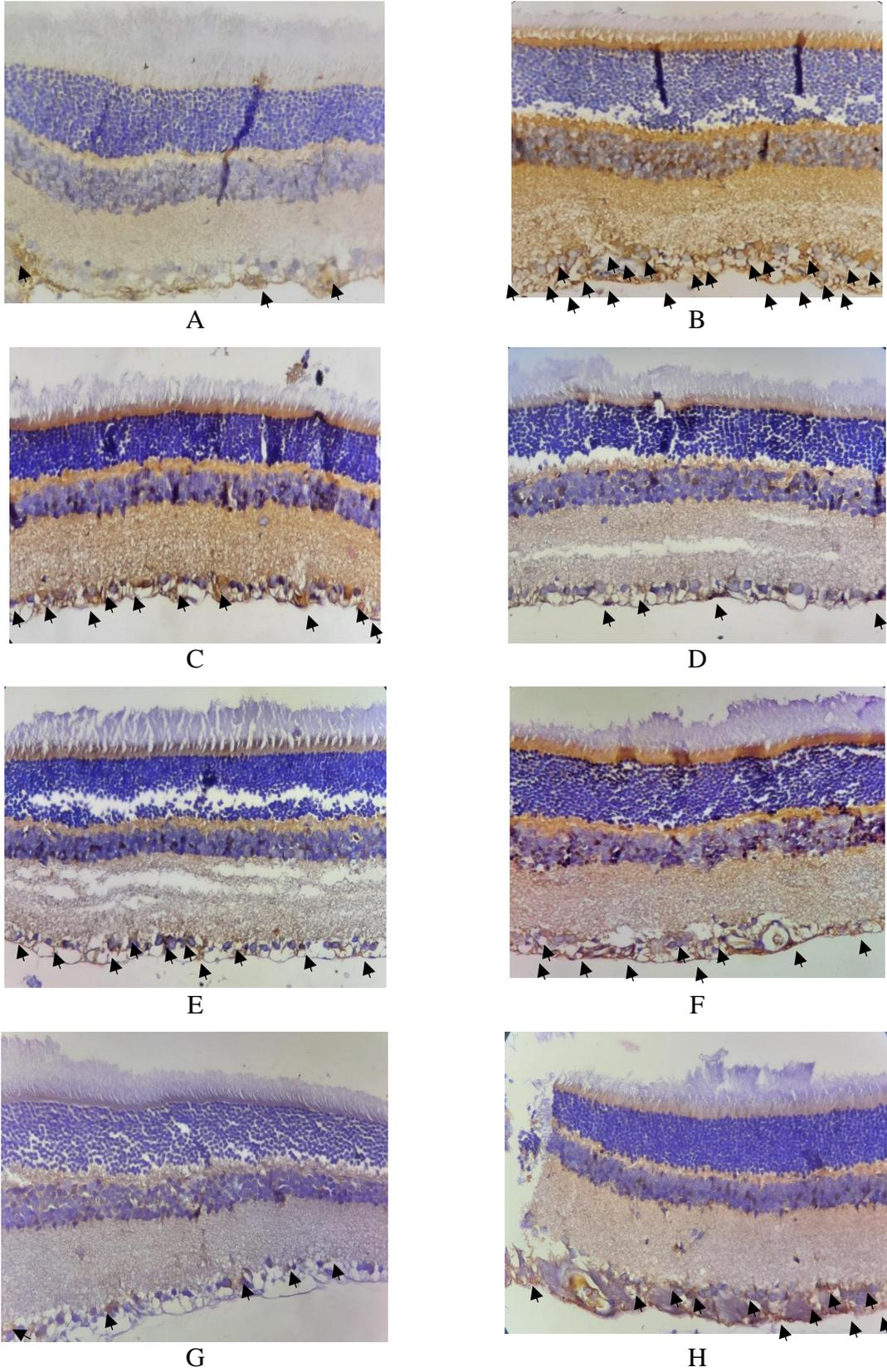


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13 **Figure. 3.** Hematoxylin and eosin staining for cell density. A = group 1, B = group 2, C =  
14 group 3, D = group 4, E = group 5, F = group 6, G = group 7, H = group 8



16 **Figure. 4.** Immunohistochemistry staining for Caspase-3 expression. A = group 1, B = group  
17 2, C = group 3, D = group 4, E = group 5, F = group 6, G = group 7, H = group 8. The red  
18 arrow shows caspase 3



20 **Figure. 5.** Immunohistochemistry staining for Caspase-7 expression. A = group 1, B = group  
21 2, C = group 3, D = group 4, E = group 5, F = group 6, G = group 7, H = group 8. The black  
22 arrow shows caspase 7  
23

1 **EFFECT OF RETINOL AND  $\alpha$ -TOCOPHEROL SUPPLEMENTATION**  
2 **ON PHOTORCEPTOR AND RETINAL GANGLION CELL APOPTOSIS**  
3 **IN DIABETIC RATS MODEL**

4  
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## ABSTRACT

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**Background:** Diabetic retinopathy which is caused by oxidative stress is the most common microvascular complication of diabetes. Supplementing with retinol and tocopherol is thought to save photoreceptor cells from neural apoptosis and retinal ganglion cell damage caused by hyperglycemia. **Objective:** This study aims to examine the effect of retinol and  $\alpha$ -tocopherol compounds on photoreceptor and ganglion cell density, as well as the caspase-3 and -7 expression in the retinal layers of the diabetic rat model. **Methods:** Alloxan 150mg/kg BW was used to develop animal models, which were then separated into eight groups and treated with retinol,  $\alpha$ -tocopherol, or a combination of both. Histopathological examination on retinal layers was performed using Hematoxylin-Eosin (HE) and Immunohistochemistry (IHC) staining. **Results:** In the treatment group, the maximum density of photoreceptor cells and ganglion cells was identified in diabetic rats given tocopherol for 14 days while the lowest value was discovered in the group given retinol for 7 days. Statistical analysis on the caspase-3 and caspase-7 expression revealed that the group given  $\alpha$ -tocopherol for 7 days had the lowest value ( $p=0.010$ ). **Conclusion:** In diabetic rats,  $\alpha$ -tocopherol and retinol compounds help to maintain densities and prevent the death of retinal photoreceptor and ganglion cells. However, in diabetic rats,  $\alpha$ -tocopherol was more beneficial than retinol or combinations in terms of cell number and caspase expression.

**Keywords:** *Diabetic retinopathy, retinol,  $\alpha$ -tocopherol, photoreceptor cell, retinal ganglion cell, apoptosis.*

## 44 **BACKGROUND**

45 Diabetic retinopathy (DR) is one of the typical causes of visual impairment in the productive-  
46 age class around the world (Song & Wong, 2014). Based on the abnormalities of the retinal  
47 microvasculature, DR is a microvascular complication of diabetes. However, a recent  
48 pathophysiological model has highlighted that neurodegeneration is a crucial and early  
49 component of retinopathy. Neural apoptosis, response gliosis, glutamate excitotoxicity, the  
50 decline in neuroprotective components, and debilitation of the neurovascular coupling are all  
51 depicted as causes of retinal neurodegeneration. Neurodegeneration is a common early  
52 symptom of DR and often precedes visible vasculopathy (Jonsson *et al.*, 2016). Previous  
53 research showed that the neuronal unit of the retina and diabetic retinopathy are strongly related  
54 because biochemical defects and functional abnormalities are demonstrated by retinal neurons  
55 and glial cells. This involves fast neuronal death, microglial cell activation, and enhanced  
56 oxidative stress generation by photoreceptors (Kowluru & Mishra, 2015).

57 The amounts of oxidatively damaged DNA and nitrosylated proteins are higher in the  
58 diabetic retina due to increased oxidative stress (OS), and antioxidant defense enzymes are  
59 compromised. Diabetic experimental animals and humans have a lower level of antioxidant  
60 enzymes and potential antioxidant vitamins (Nita & Grzybowski, 2016). As a result of the close  
61 relationship between OS and dysmetabolism associated with the pathogenesis of DR, suitable  
62 antioxidants can be used to alleviate metabolic and functional abnormalities. Antioxidants can  
63 work on various levels, such as inhibiting the generation of reactive oxygen species (ROS),  
64 lowering free radicals, or enhancing antioxidants enzyme capacities. The finding demonstrated  
65 that using dietary or local bio factors of medicinal and aromatic plants could help manage  
66 diabetes. OS triggers other pathways that are unfavorable to DR development and causes a  
67 vicious circle of injury to macromolecules by magnifying additional ROS. Therefore, OS and

68 ROS are considered to have a role in DR, by increasing glucose and significant metabolic  
69 abnormalities (Silva *et al.*, 2010).

70 Vitamins A, C, E, and carotenoids, which have been extensively investigated, are well-  
71 known antioxidants produced from food. Additionally, antioxidants can limit the generation of  
72 reactive oxygen species (ROS), scavenge free radicals, or boost the antioxidant enzyme  
73 capabilities. This supplementation has proven to reduce oxidative stress-induced damage to the  
74 retina (Silva *et al.*, 2010).

75 Retinol, retinal, retinoic acid, and provitamin A carotenoids are all unsaturated nutritional  
76 chemical molecules that makeup vitamin A (Zhong *et al.*, 2012). Painstaking biochemical  
77 reconstitution experiments have enabled recent improvements in the molecular knowledge of  
78 the retinoid cycle in the mammalian retina. Natural or synthetic animal models with known  
79 genetic lesions backed up this claim, as well as human studies of target genetic blinding  
80 diseases. Critical retinal enzymes and proteins and their substrates and ligands have been  
81 identified using structural and membrane biology and placed in a cellular context (Kiser &  
82 Palczewski, 2016). In a reversible reaction catalyzed by the NADPH-dependent all-trans-  
83 retinol dehydrogenase, all-trans-retinal in the cytoplasm are degraded to all-trans-retinol  
84 (RDH). After that, al-trans-retinol diffuses into the RPE where it is esterified by lecithin: retinol  
85 acyl transferase (LRAT) (Palczewski, 2010). Meanwhile, in vitro, and in vivo studies showed  
86 a protective impact of  $\alpha$ -tocopherol (derivative of vitamin E) on practically all eye tissues. For  
87 up to 24 hours of exposure, a biomolecular compound of  $\alpha$ -tocopherol can protect the retina  
88 against light damage (Engin, 2009a). In addition,  $\alpha$ -tocopherol has been shown to protect  
89 against retinal phototoxicity and central nervous system ischemia injury (Ritch, 2007).

## 90 **METHODS**

91 This study was further aimed at investigating the effect of retinol and  $\alpha$ -tocopherol on  
92 photoreceptor and ganglion cell densities, as well as apoptosis by evaluating caspase-3 and -7  
93 expression.

94

### 95 **Design**

96 This was a true experimental with only a post-test group which made use of forty animal  
97 subjects. Alloxan monohydrate (SIGMA USA, Cat. No. A7413) was then used to induce the  
98 diabetic model, while supplementation was performed with retinol (SIGMA USA, Product No.  
99 R7632, CAS Number: 68-26-8) and  $\alpha$ -tocopherol (SIGMA USA, Cat. No.258024) compounds.  
100 Eight groups of animals were created, namely: 1 presented as a negative control (wild type),  
101 2 presented as a positive control (alloxan induction without treatment), 3 was diabetic rats on  
102 retinol for 1 week (after alloxan induction), 4 was diabetic rats on  $\alpha$ -tocopherol for 1 week  
103 (after alloxan induction), 5 was diabetic rats given a combination of retinol and tocopherol for  
104 1 week (after alloxan induction), 6 was diabetic rats on retinol for 14 days (1 week each, before  
105 and after alloxan induction), 7 was diabetic rats given tocopherol for 14 days (1 week each,  
106 before and after alloxan induction), and 8 was diabetic rats given combination of retinol and  
107 tocopherol for 14 days (1 week each, before and after alloxan induction).

108

### 109 **Established of animal experiment**

110 Male Wistar rats (*Rattus norvegicus*), 8-12 weeks old, weighing 160-200 grams were used for  
111 this study. All animals were given standard feed and provided access to *ad libitum* drinking  
112 water in a room with a 12-hour light-dark cycle. Each experimental animal in groups 2-8  
113 received 150mg/kg body weight an intraperitoneal injection of Alloxan monohydrate. In cases  
114 where blood glucose levels were  $>200$  mg/dl, induction was considered successful.

115 Furthermore, blood sugar measurements were performed three times namely, before injection  
116 of alloxan, three days later, and a day before sacrifice. All samples in group 1 had a blood  
117 glucose level <200 mg/dl until the termination procedure was completed, while samples in  
118 groups 2 to 8 had a blood glucose level >200 mg/d after being induced until termination.  
119 Retinol compounds up to 900 mcg/day were administered to groups 3 and 6 (National Institutes  
120 of Health,2020),  $\alpha$ -tocopherol compounds up to 15mg/day were provided to groups 4 and 7  
121 (Rasmussen & Johnson, 2013), while groups 5 and 8 received a combination of both.

122

### 123 **Sample collection and processing**

124 The rats were killed before enucleation, by placing them in a closed container filled with cotton  
125 and ether. Subsequently, the animals were placed for ten minutes until there was no motor  
126 reaction, neurological reflexes, or heartbeat. The eye tissue was removed using the enucleation  
127 approach, which involved pressing the eyeball on the base of the optic nerve, cutting the optic  
128 nerve, and lifting the eyeball. All eyes were fixed with 10% formalin and transported to the  
129 pathology lab.

130 To calculate the density of retinal ganglion and photoreceptor cells, retinal tissue was cut  
131 using a microtome with a thickness of 5  $\mu$ m and stained with hematoxylin and eosin (HE).  
132 Caspase-3 (Cat No. C9598, Sigma USA) and caspase-7 (Cat No. C1104, Sigma USA)  
133 expression in the retinal layer was examined using immunohistochemistry (IHC). Quantitative  
134 approaches were used to interpret cell density using an Olympus CX23 binocular microscope  
135 with 40-fold objective magnification, and the results were expressed as a mean with standard  
136 deviation. To identify caspase-3 and caspase-7, Immunohistochemistry staining was conducted  
137 using primary and secondary antibody (Cat. No. UCS015-IFU, ScyTek USA). The intensity of  
138 expression in photoreceptor cells was categorized qualitatively using the Immunoreactive  
139 Scoring System (IRS) modification method. There are 3 categories namely, negative (once

140 caspase expression shows <5% of the total field of view), Low (5-20% expression), and high  
141 (>20% expression). Meanwhile, the intensity of caspase expression in ganglion cells was  
142 performed quantitatively by counting the number of cells and apoptotic bodies that express  
143 binding colour.

144

#### 145 **Data Analysis**

146 Statistical analysis of the data were using an Independent T-test for the quantitative data  
147 and Kruskal Wallis test for qualitative data (sig.  $p < 0.05$ ).

148

#### 149 **RESULTS**

150 According to table 1, the blood sugar level of the negative control group was  $82 \pm 2$  mg/dl  
151 compared to the other groups ( $276 \pm 15$  mg/dl to  $426 \pm 45$  mg/dl). This revealed that the  
152 experimental animal can be used as a model for type 1 diabetes rats because they have been in  
153 a hyperglycaemic condition. Quantitative method was used to identify cell densities with  
154 normally distributed and this showed a statistically significant difference ( $p < 0,05$ ), with groups  
155 4 and 7 being the closest result to the normal value (Table 1 and Fig. 1)

156 The data was divided into three categories namely, negative, low, and high, Kruskal-  
157 Wallis analysis was used to test the interpretation of photoreceptor cell expression. In addition,  
158 T-test analysis was used to determine the expression value in ganglion cells. Table 1 shows the  
159 findings of statistical calculations (p-value) for immunohistochemical evaluation of caspase-3  
160 and -7 expression in photoreceptor and retinal ganglion cells, with the groups 4 and 7 showing  
161 the most similar caspase-3 and -7 expression to the healthy group (fig.2).

162

163 **DISCUSSION**

164 The retina is a fragile thin layer of tissue that originates from the neuroectoderm. It is made up  
165 of nine layers of sensory neurons that begin the visual pathway (Gupta *et al.*, 2015).  
166 Photoreceptors are visual system sensors that transform photon capture into a nerve signal  
167 through a process known as phototransduction. Photopigment, mitochondria, endoplasmic  
168 reticulum, nucleus, inner fiber, and synaptic terminals are all components of a photoreceptor.  
169 Photoreceptor terminal ends interrelate with surrounding photoreceptors and interneurons of  
170 horizontal and bipolar cells, and they are required for visual information transmitting and early  
171 processing in the retina (Fielder & R., 2011). A pathogenic disease, such as diabetic  
172 retinopathy, caused a decrease in electrical activity and nerve fiber changes. Therefore, even  
173 though the retinal neuronal structure is different from the peripheral sensory system, diabetic  
174 retinopathy is similar to diabetic peripheral sensory neuropathy (Antonetti, 2012).

175 DR is a duration-dependent disease, that is infrequently discovered during the early years  
176 of diabetes but substantially develops with time, with nearly 90% of patients showing  
177 indications of retinopathy after 20–25 years of diabetes (Kowluru & Mishra, 2015). After  
178 cellular membranes are damaged and intracellular components are released, oxygen-derived  
179 free radicals mediate tissue injury (Nur Azlina & Nafeeza, 2008). Antioxidants have the  
180 potential of preventing retinopathy development in diabetic rats and the implicated retinal  
181 metabolic abnormalities (Silva *et al.*, 2010). For the protection of the retina and choroid,  
182 optimal combinations of vitamins B1, B2, B6, L-methylfolate, methylcobalamin (B12), C, D,  
183 natural  $\alpha$ -tocopherol complex, lutein, zeaxanthin,  $\alpha$ -lipoic acid, and n-acetylcysteine are  
184 necessary (Rasmussen & Johnson, 2013).

185 There is a substantial difference in cell density between diabetic and non-diabetic rats  
186 after alloxan induction and supplementation with retinol and  $\alpha$ -tocopherol substances in this

187 study. Meanwhile, in terms of retinal cell density,  $\alpha$ -tocopherol outperforms retinol.  
188 Subsequently, the vitamin E refers to eight naturally occurring compounds ( $\alpha$ -,  $\beta$ -,  $\gamma$ -,  $\delta$ -  
189 tocopherol, and  $\alpha$ -,  $\beta$ -,  $\gamma$ -,  $\delta$ -tocotrienol).  $\alpha$ -tocopherol is the most common form retained in  
190 human plasma, out of the eight forms (Gagné *et al.*, 2009). Vitamin E is crucial for erythrocytes'  
191 stability and central and peripheral nerves conductivity. Several countries have established  
192 vitamin E dietary consumption recommendations. For example, based on the Recommended  
193 Daily Allowance (RDA) in the US for  $\alpha$ -tocopherol in adults men and women is 15 mg (Péter  
194 *et al.*, 2015).

195 The loss of photoreceptors in the diabetic retina is still being topic of debate. Various  
196 OCT studies in diabetic patients show that the thickness of the inner retina, including the nerve  
197 fibre, ganglion cell, and inner plexiform layers, decreases with the duration of diabetes (Becker  
198 *et al.*, 2020). In diabetic animal models, the outer nuclear layer thickness is frequently reduced,  
199 especially models of type 1 disease with early onset. Furthermore, various studies support the  
200 notion that photoreceptor loss increases with disease duration (Kern & Berkowitz, 2015).

201 Once the fat is oxidized and free radical reactions propagate,  $\alpha$ -tocopherol is a powerful  
202 chain-breaking antioxidant that counteracts reactive oxygen species molecules creation. The  $\alpha$ -  
203 tocopherol has been suggested to protect against retinal phototoxicity and central nervous  
204 system ischemia injury (Ritch, 2007). By inhibiting the peroxidation of membrane lipids and  
205 scavenging lipid peroxy radicals,  $\alpha$ -tocopherol protects essential cellular structures from  
206 damage produced by oxygen free radicals and reactive products of lipid peroxidation (Kanter  
207 *et al.*, 2009). This also protects the polyunsaturated fatty acids found in membrane  
208 phospholipids and plasma lipoproteins, because of its peroxy radical scavenging activity  
209 (Rizvi *et al.*, 2014).

210 Concerning the pharmacodynamics of tocopherols, studies on the human eye have shown  
211 that vitamin E retinal levels are greater than those of the choroid or vitreous and are linked to

212 vitamin E serum level. Protein kinase C (PKC) is a path taken by  $\alpha$ -tocopherol. According to  
213 current studies, tocopherol decreases free-radical creation and tyrosine kinase activity in the  
214 Tissue Plasminogen Activator (TPA)-induced in the primary human fibroblasts or HL-60 cells.  
215 The antiproliferative action of  $\alpha$ -tocopherol through the PKC pathway in the vascular smooth  
216 muscle cell model is revealed by numerous studies published in vivo and in vitro joint  
217 investigations. However,  $\alpha$ -tocopherol administration has reduced the linkage of Activator  
218 Protein 1 (AP-1) to DNA in para-methoxyamphetamine (PMA)-stimulated cells, although this  
219 is yet to be seen in phase G0 cells (Engin, 2009b).

220 At the inner blood-retinal membrane, scavenger receptor class B type I is responsible for  
221 the absorption of  $\alpha$ -tocopherol from the circulating blood and is required for the maintenance of  
222  $\alpha$ -tocopherol in the neural retina.  $\alpha$ -tocopherol can protect the retina for up to 24 hours after being  
223 exposed to light. Vitamin E is a vital preventative against a variety of dangerous light-induced  
224 eye illnesses and disorders (cataractogenesis and retinal photodeterioration) (Tachikawa *et al.*,  
225 2007). There has also been evidence of a link between  $\alpha$ -tocopherol and several eye disorders  
226 in the past. Retinitis pigmentosa, for example, has been linked to an H101Q mutation in the  $\alpha$ -  
227 tocopherol transfer protein gene (Pang *et al.*, 2001). Cryotherapy combined with vitamin E  
228 prophylaxis seems to reduce the severity and complications of threshold retinopathy of  
229 prematurity (Johnson *et al.*, 1995). Persons with exudative macular degeneration have lower  
230 average levels of  $\alpha$ -tocopherol (Mares-perlman *et al.*, 1995).

231 Following positive results in a diabetic rat model for the prevention of diabetes-related  
232 vascular damage, high dosages of  $\alpha$ -tocopherol, the predominant antioxidant in the lipid phase,  
233 were examined in the clinic for administration. This was performed to restore retinal blood  
234 flow in diabetic type I patients as this was discovered to control levels. Furthermore, the  $\alpha$ -  
235 tocopherol is useful in DR by the nonenzymatic free radical scavenging action outside the cell.

236 Antioxidant therapy with  $\alpha$ -tocopherol has been shown in humans to improve diabetic retinal  
237 hemodynamics (Silva *et al.*, 2010).

238 Retinoids may create retinoid cation radicals as a result of interactions with different  
239 radicals or photoexcitation with light. Also, from studies performed, there is an indication that  
240 semi-oxidized retinoids can oxidize certain amino acids and proteins, and that  $\alpha$ -tocopherol can  
241 scavenge retinol and retinoic acid cation radicals (Zhong *et al.*, 2012).

242 According to Hymøller (2016), supplementation with substantial doses of retinol was  
243 demonstrated to reduce the bioavailability of  $\alpha$ -tocopherol in growing pigs and calves. In both  
244 humans and poultry, retinol supplementation has been demonstrated to impact vitamin D3  
245 bioavailability. This showed a more relevant ratio of retinol to vitamin D3 than the actual  
246 vitamins concentrations in the diet (Hymoller *et al.*, 2016).

247 Due to its abundance in human and animal tissues,  $\alpha$ -tocopherol is the considerable  
248 significant inhibitor of dietary lipid peroxidation in vivo. As a result, there have been various  
249 investigations about its effects on lipid peroxidation and combinations with other antioxidants  
250 than other tocopherols (Wang & Quinn, 1999). A resonance-stabilized phenoxyl radical (the  
251 tocopheroxyl radical) is created during the  $\alpha$ -tocopherol donation of electrons. This has a  
252 reduced reaction compared to lipid-derived peroxy radicals and does not reproduce the radical  
253 chain in lipid peroxidation easily. Subsequently, certain biological reductants, such as  
254 ascorbate (vitamin C), ubiquinol, or dihydrolipoic acid, then convert the tocopheroxyl radical  
255 back to tocopherol. The apparent antioxidant synergism between  $\alpha$ -tocopherol and other  
256 antioxidants is based on recycling. Furthermore,  $\alpha$ -tocopherol decreases and recycles other  
257 semi-oxidized forms such as cation radicals of the two forms of vitamin A namely, retinol and  
258 retinoic acid (El-Agamey & Fukuzumi, 2011; Li *et al.*, 2013). Retinoids interaction with  
259 hydroxyl radicals, peroxy radicals, such as trichloromethylperoxy radical, or the

260 photoionization of retinoids by exposure to ultraviolet light is responsible for the cation radicals  
261 production (El-Agamey *et al.*, 2017).

262 The study's second finding was that  $\alpha$ -tocopherol had a substantial effect on retinal cell  
263 apoptosis prevention. This could be due to 2,7,8-trimethyl-2- (2'-carboxyethyl)-6-  
264 hydroxychroman ( $\gamma$ -CEHC) compound, the metabolite of  $\alpha$ -tocopherol that suppress cyclo-  
265 oxygenase activity, and have an anti-inflammatory effect (Gagné *et al.*, 2009).

266 Apoptosis, commonly called as programmed cell death, is a morphologically unique  
267 process that includes cell shrinkage, cytoplasm condensation, plasma membrane blebbing, and  
268 fragmentation of chromatin and DNA into oligonucleosomes (Park *et al.*, 2020). Caspases  
269 produce active signaling molecules that aid in apoptosis and are divided into two types based  
270 on their modes of action, which include initiator caspases (-8 and -9) and executioner caspases  
271 (-3, -6, and -7). Caspase-3, a key effector caspase in apoptotic pathways, is 32-kDa proenzyme  
272 that is not active. This is broken at the aspartate residue to form a p12 and p17 subunit necessary  
273 in the production of the active caspase-3 enzyme. Also, this is in charge of morphological and  
274 biochemical alterations during apoptosis and can be used in computing the apoptotic index  
275 (Huang *et al.*, 2017).

276 The findings of this study are comparable to those of a study by Salerno (2007) on the  
277 effects of  $\alpha$ -tocopherol consumption on apoptosis. In that study,  $\alpha$ -tocopherol (10, 20, 50, or  
278 100 $\mu$ M in 0.25 M MetOH) was the only agent that induced a slight statistically significant  
279 reduction in intracellular caspase-3 activity ( $P < 0.05$ ). Meanwhile, combinations in different  
280 amounts of  $\alpha$ -tocopherol and carotenoid cleavage products (13  $\mu$ g/ml), showed an elevated up-  
281 regulation of intracellular caspase-3 activity. The combination treatment had a far greater effect  
282 than carotenoid derivatives alone, as reported by the first column pair (Salerno *et al.*, 2007).

283 Antioxidants prevented the development of retinopathy in diabetic rats' retinas, which  
284 revealed elevated oxidative stress. According to recent studies, apoptosis of neuronal retinal

285 cells is increased in experimental diabetes in rats and humans. The apoptosis-induced cell death  
286 leads to persistent neurodegeneration in diabetic retinas, where neurons are destroyed before  
287 another histopathology is seen (Abu El-Asrar *et al.*, 2007).

288 This study results showed that the percentage of cells staining at each intensity level was  
289 used to grade the interpretation of caspase-3 and -7 expressions in photoreceptor cells. The  
290 degree of positivity using Immunoreactive Scoring System (IRS) modification, which was used  
291 by Huang, et.al (2017) method, we classified it into Negative = 0-<5% expression, Low = 5-  
292 25% expression, and High = >25% expression (Huang *et al.*, 2017).

293 Oxidative stress and hypoxia may cause photoreceptor injury in diabetes. Due to  
294 diabetes-induced overproduction and deposition of reactive oxygen species (ROS) in the retina,  
295 elevated oxidative stress becomes a crucial component of DR pathogenesis. This is certainly  
296 relevant in photoreceptors because of defective mitochondrial electron transport and NADPH  
297 oxidase (Nox) activity. In diabetic retinas, oxidative stress is greatly higher in the dark, leading  
298 to higher oxygen demand throughout the dark cycle (Becker *et al.*, 2020).

299 Many in vitro and in vivo investigations have shown close relation of hyperglycemia-  
300 induced oxidative stress in a range of cell types. In diabetic neurons, oxidative stress was  
301 related to mitochondrial malfunction and the programmed cell death caspase pathway  
302 activation. Many studies investigated mitochondrial disease and malfunctions in association  
303 with vitamin E and other antioxidants insufficiency. Meanwhile, fairly little evidence on the  
304 long term health and function benefits of antioxidant-rich mitochondria are available  
305 (Lauridsen & Jensen, 2012). There is compelling evidence that reactive oxygen species play a  
306 role in causing mitochondria to release numerous key apoptotic actors into the cytosol,  
307 including cytochrome c and AIF. The majority of studies showed that oxidative stress in the  
308 retina was elevated because of diabetes and this is crucial in diabetic retinopathy development  
309 (Abu El-Asrar *et al.*, 2007).

310 One major pathophysiological cause of ectopic neovascularization in DR is retinal  
311 hypoxia. The most thoroughly analyzed factors in diabetic retinal neovascularization are  
312 Hypoxia-driven upregulation of Hypoxia-inducible factor 1-alpha (HIF1- $\alpha$ ) and vascular  
313 endothelial growth factor (VEGF). Additionally, medicines that inhibit VEGF bioactivity are  
314 used regularly for the treatment of diabetic macula oedema and proliferative DR.  
315 Photoreceptors in the healthy retina are among the most active oxygen consumers in the body.  
316 The choroidal circulation, not the retinal blood vessels, supplies the majority of the oxygen to  
317 photoreceptors. As a result, oxygen tension drops quickly from the Bruch's membrane to the  
318 retina's outer nuclear layer, where it reaches its lowest values. This reduces oxygen reserve in  
319 Photoreceptors and even a minor disruption of oxygen flow in diabetes can result in severe  
320 hypoxia. The creation of acellular capillaries, capillary blockage, and capillary dropout are all  
321 thought to contribute to retinal hypoxia and hence, the retinal vascular pathology of DR (Becker  
322 *et al.*, 2020).

323 The significant effect on  $\alpha$ -tocopherol consumption is similar to Silva *et.al* (2010) and  
324 Engin (2019). A provision of vitamins C and E decreased superoxide generation in the retina,  
325 and diabetic mice given this vitamin combination showed partial reductions in retinal  
326 neovascularization. Once antioxidants such as ascorbic acid, acetate,  $\alpha$ -tocopherol, Trolox  
327 cysteine,  $\beta$ -carotene, and selenium are consumed, the benefits related to retinal cell survival  
328 become increasingly well-known. The same components can also minimize lipid peroxides and  
329 prevent SOD and catalase reduction. Therefore, it is suggested to increase the application or  
330 consumption of a wider range of antioxidants as an effective strategy to avoid retinopathy  
331 (Silva *et al.*, 2010)

332

333 **CONCLUSIONS**

334 In diabetic rats, tocopherol and retinol compounds alter cell density, caspase-3, and caspase-7  
335 expression in photoreceptor cells and retinal ganglion cells. Additionally, once compared to  
336 retinol compounds and combination, tocopherol compounds were more effective in terms of  
337 cell density and caspase expression. Tocopherol therapy can be recommended as a  
338 supplemental therapy in the care of diabetic patients to reduce retinopathy progression.

339

340 **List of abbreviations**

341 DR: Diabetic retinopathy, OS: oxidative stress, ROS: reactive oxygen species, LRAT: retinol  
342 acyl transferase, IRS: Immunoreactive Scoring System, RDA: Recommended Daily  
343 Allowance, PKC: Protein kinase C, TPA: Tissue Plasminogen Activator, PMA: para-  
344 methoxyamphetamine, AP-1: Activator Protein 1, Nox: NADPH oxidase, HIF1- $\alpha$ : Hypoxia-  
345 inducible factor 1-alpha, VEGF: vascular endothelial growth factor.

346

347 **DECLARATION**

348 **Ethics approval and consent to participate**

349 This study was received approval from The Ethics Committee of Medical Research, Faculty of  
350 Medicine, Hasanuddin University with Approval number: 725/UN4.6.4.5.31/ PP36/2021.

351

352 **Consent for publication**

353 Not applicable

354

355 **Availability of data and materials**

356 The data that support the findings of this study are available from the corresponding author  
357 upon reasonable request.

358 **Competing interests**

359 The authors declare that they have no competing interests.

360

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364

365 **Author Contribution**

366 **AMI:** design of the work, medical procedure execution (alloxan injection, feeding retinol and  
367 tocopherol, sacrifice animal model), data analysis and interpretation, drafting the work for  
368 publication. **HSM:** work conception, data analysis. **AB:** work conception, animal care, data  
369 statistical analysis, and interpretation. **SB:** work conception, treatment material selection, work  
370 drafting, and publication revision. **UAM:** performing the medical procedure (tissue preparation  
371 and interpretation) drafting the work for publication. **AAD, ICI:** caring for the animal model,  
372 performing post-injection follow-up, sacrifice animal model, tissue processing and analysis,  
373 composing and critically revising the work for key intellectual content.

374

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379 **Authors' information**

380 All named authors meet the International Committee of Medical Journal Editors (ICMJE)  
381 criteria for authorship for this article, take responsibility for the integrity of the work as a whole,  
382 and have given their approval for this version to be published.

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1

TABLE

2 Table 1. Descriptive data

| Group | Treatment  | Photoreceptor cells density (mean±SD) | P-value       | Ganglion cells density (mean±SD) | P-value       | Caspase-3 expression on Photoreceptor cells (n) | p-value       | Caspase-3 expression on Ganglion cells (mean±SD) | p-value       | Caspase-7 expression on Photoreceptor cells (n) | p-value       | Caspase-7 expression on Ganglion cells (mean±SD) | p-value       | Blood glucose level (mean±SD) |
|-------|--|---------------------------------------|---------------|----------------------------------|---------------|---|---------------|--|---------------|---|---------------|--|---------------|-------------------------------|
| 1     | Negative control (Wild type)   | 843±32                                |               | 26±1                             |               | High: 0<br>Low: 2<br>Neg: 3                     |               | 16.80±3.701                                      |               | High: 0<br>Low: 3<br>Neg: 2                     |               | 18.60±1.94                                       |               | 82±2                          |
| 2     | Alloxan induction without treatment  | 565±95                                |               | 19±3                             |               | High: 4<br>Low: 1<br>Neg: 0                     |               | 76.20±14.97                                      |               | High: 2<br>Low: 3<br>Neg: 0                     |               | 77.20±10.82                                      |               | 276±15                        |
| 3     | Retinol for 7 days   | 536±138                               |               | 18±5                             |               | High: 2<br>Low: 3<br>Neg: 0                     |               | 33.80±5.16                                       |               | High: 2<br>Low: 3<br>Neg: 0                     |               | 44.80±25.78                                      |               | 349±63                        |
| 4     | α-tocopherol for 7 days  | 701±88                                |               | 25±5                             |               | High: 1<br>Low: 4<br>Neg: 0                     |               | 32.80±2.28                                       |               | High: 2<br>Low: 3<br>Neg: 0                     |               | 27.20±3.27                                       |               | 426±45                        |
| 5     | Combination of retinol and α-tocopherol for 7 days                                   | 614±156                               | <b>0.002*</b> | 20±4                             | <b>0.010*</b> | High: 3<br>Low: 2<br>Neg: 0                     | <b>0.016#</b> | 43.00±10.70                                      | <b>0.010*</b> | High: 3<br>Low: 2<br>Neg: 0                     | <b>0.069#</b> | 40.60±8.29                                       | <b>0.010*</b> | 400±83                        |
| 6     | Retinol for 14 days (pre and post induction)   | 599±93                                |               | 20±5                             |               | High: 3<br>Low: 2<br>Neg: 0                     |               | 37.00±3.53                                       |               | High: 3<br>Low: 2<br>Neg: 0                     |               | 44.80±4.60                                       |               | 387±81                        |
| 7     | α-tocopherol for 14 days (pre and post alloxan induction)                            | 752±190                               |               | 27±2                             |               | High: 1<br>Low: 4<br>Neg: 0                     |               | 38.60±7.79                                       |               | High: 2<br>Low: 3<br>Neg: 0                     |               | 32.40±4.50                                       |               | 404±66                        |
| 8     | Combination of retinol and α-tocopherol for 14 days (pre and post alloxan induction) | 657±78                                |               | 25±5                             |               | High: 3<br>Low: 2<br>Neg: 0                     |               | 48.20±6.64                                       |               | High: 3<br>Low: 2<br>Neg: 0                     |               | 41.40±3.84                                       |               | 424±52                        |

3 \*One-way ANOVA test (sig&lt;0.05)

4 #Kruskal-Wallis test (sig&lt;0.05)