

COVER LETTER

Date: 11th March 2022

To
The Editor,
Bali Medical Journal

I am enclosing herewith a manuscript entitled:

COMPARISON OF SERUM AND VITREOUS TGF- β 1 LEVELS IN PROLIFERATIVE DIABETIC RETINOPATHY WITH AND WITHOUT PANRETINAL PHOTOCOAGULATION LASER THERAPY

Here are attached point by point response to editor and reviewers' suggestion:

1. Ethical clearance number/statement and/or informed consent at the end of the manuscript (Confirmed).

Response:

It was already written in our manuscript.

2. Please state your conflict of interest in the paper. (Confirmed)

Response:

It was already written in our manuscript.

3. Please state the funding (if any) in your paper. (Confirmed)

Response:

It was already written in our manuscript.

4. Please state each author's contribution. (Confirmed)

Response:

It was already written in our manuscript.

5. Based on our proofreading application, we detected 76 critical grammatical errors.

Response: Thank you for your information. Based on your suggestion, we agree to send our manuscript to REVISE for grammar editing. Could you please inform us how to send it to REVISE?

6. Please describe the reason why this article evaluates specifically TGF- β 1 instead of another marker.

Response: The selection of the TGF- β 1 molecule as a marker in this study was based on the theory that TGF- β 1 is a polypeptide member of the transforming growth factor beta family of cytokines. It is a secreted protein that performs many cellular functions, including the control of cell growth, cell proliferation, cell differentiation, and apoptosis (this statement was also added to our revised manuscript line 119-126). As far as the literature search that we did using the pubmed and medline databases, there were only about 247 studies discussing this biomarker where the relationship between TGF- β 1 and proliferative diabetic retinopathy was only 77 articles in the last 10 years. The lack of data and the important role of this biomarker are two main reasons for us to select this marker as our research variable.

7. Please describe the selection of 14 patients in this study. Is there any randomization?
Response: In this study, we used a purposive sampling method in determining the sample without randomization. We realize that the limitation of our study is small of samples who met the inclusion criteria. Therefore, we suggested that the further study should recruit more samples and do randomization.

8. The Discussion was too short, please add more discussion regarding this study result.
Response: In this study, we have added some discussion about the overview of the TGF-B molecule (manuscript line 119-130), the relationship between the number of lasers burns and post-laser duration in influencing TGF-B1 levels (manuscript line 131-141) and the relationship between the outcome of PDR patients with serum and vitreous TGF-B1 levels (manuscript line 142-167).

If you have any further information and suggestion, please do not hesitate to inform us.
Thank you.

Best regards,

Andi Muhammad Ichsan

2 **COMPARISON OF SERUM AND VITREOUS TGF-β1 LEVELS IN**
3 **PROLIFERATIVE DIABETIC RETINOPATHY WITH AND**
4 **WITHOUT PANRETINAL PHOTOCOAGULATION LASER**
5 **THERAPY**

6
7 **ABSTRACT**

8 **Background:** A long-term diabetic retinopathy will cause an increase of several growth factors expression like
9 Transforming Growth Factor β (TGF-β), a multipotent cytokine that involved in the process of endothelial cell
10 proliferation.

11 **Purpose:** This study aims to observe the relationship between TGF-β1 levels in serum and vitreous fluid of
12 Proliferative Diabetic Retinopathy (PDR) patients given Pan Retinal Photocoagulation (PRP) laser therapy.

13 **Method:** This was a cross-sectional study involving 14 patients with PDR. TGF-β1 levels of vitreous and
14 peripheral blood were measured using Enzyme linked Immunosorbent Assay (ELISA) method.

15 **Results:** Our subjects consisted of 57.1% males with a mean age of 51.8 years, where dyslipidemia was the
16 most common comorbid disease. Mean serum TGF-β1 level was $12,821.43 \pm 5,253.16$ pg/ml, while the mean
17 value in vitreous was $3,692.86 \pm 333.89$ pg/ml. Meanwhile, there was no significant difference in serum and
18 vitreous TGF-β1 levels between subjects with and without PRP laser therapy ($p > 0.05$).

19 **Conclusion:** There were no significant correlation between TGF-β1 levels in proliferative diabetic retinopathy
20 patients with and without pan retinal photocoagulation laser therapy. However, there was a decreasing trend
21 in TGF-β1 levels in the vitreous fluid which indicates that PRP laser therapy has a positive effect on preventing
22 the formation of neovascularization in the eye.

23
24 **Keywords:** TGF-β1 levels, proliferative diabetic retinopathy, panretinal photocoagulation laser

25
26 **Fundings:** None

27
28 **Introduction**

29 Diabetes Mellitus (DM) is a metabolic disease characterized by chronic hyperglycemia due to the
30 failure of the pancreas to produce sufficient insulin or the occurrence of cell resistance in peripheral tissues.(1)
31 This condition can cause damage to various organs including the heart, kidneys, and eyes, even become a
32 risk factor for death from complications.(2,3) Epidemiological estimates in several studies predict that patients
33 with DM will reach 380 million in 2025 with 4 million being at risk for visual loss due to Diabetic Retinopathy
34 (DR).(4)

35 Diabetic retinopathy is classified into an early stage, namely Non-Proliferative Diabetic Retinopathy
36 (NPDR), and an advanced stage, called Proliferative Diabetic Retinopathy (PDR).(5) The case of decrease in
37 visual ability occurs due to two mechanisms, namely increased intraretinal vascular permeability which leads
38 to macular edema and narrowing of the capillary blood vessels' lumen, to cause macular ischemia.(6)

39 The state of DR in the long term causes an increase in the expression of several growth factors such
40 as Vascular Endothelial Growth Factor (VEGF), Platelet-Derived Growth Factor (PDGF), basic Fibroblast
41 Growth Factor (bFGF), and Transforming Growth Factor beta (TGF-β).(7) These biochemical molecules are
42 known to trigger the occurrence of PDR which leads to the threat of permanent blindness in patients.(8) TGF-
43 β is a multipotent cytokine that works through activin receptor-like kinase-1 (ALK1) and 5 (ALK-5).(9) It is
44 involved in the process of endothelial cell proliferation, formation and degradation of extracellular matrix, as
45 well as chemotactic and apoptotic processes that lead to the thickening of the capillary basement membrane
46 and in impaired regulation of systemic blood vessels.(8–10)

47 The best treatment for RD patients is to control the blood sugar levels,(11) also, the therapeutic
48 outcome is not aimed at curing or restoring visual function but at slowing the progression of vision loss.(12)
49 Panretinal photocoagulation (PRP) laser therapy is reportedly effective for fulfilling these goals.(13) It is

50 performed when high-risk PDR is found to numb the ischemic area, thereby inhibiting further
51 neovascularization.(14)

52 This study aims to observe the relationship between TGF- β 1 levels in serum and vitreous fluid of PDR
53 patients given PRP laser therapy. The results are expected to form the basis for preventing further
54 complications in DM patients, specifically those already having visual complaints.

55

56 **Material and methods**

57 **Study Design**

58 This was a cross-sectional study involving 14 patients with PDR who had vitrectomy surgery. The
59 history and laboratory tests were investigated to confirm the diabetic status of patients by checking fasting
60 blood sugar and HbA1c levels. Furthermore, routine ophthalmological examinations were carried out including
61 visual acuity test, intraocular pressure (IOP), examination of the anterior segment of the eye with slit-lamp
62 biomicroscopy, as well as the posterior segment using funduscopy. The fundoscopic examination results were
63 stated to be normal for NPDR and PDR, but only patients with PDR were analyzed. Furthermore, an analysis
64 was conducted on the relationship between the duration and number of laser burns given to patients due to
65 changes in TGF- β 1 levels examined in the serum and vitreous fluids.

66

67 **Sample Collection**

68 Vitreous samples were taken using a vitrectomy machine with a volume of 700-1000 μ l, while the
69 serum using 3-5 ml blood samples were taken through the median cubital vein. Afterward, they were placed
70 into a vacutainer tube for mobilization and storage.

71

72 **TGF- β 1 Assay**

73 TGF- β 1 levels were checked using the human TGF- β 1 ELISA reagent kit (Cat. No. MN 55412, R & D
74 Systems, Inc, Minneapolis, USA) where the standard range on the device was 31.2 – 2,000 pg/ml with a
75 detection limit of 4.61 pg/ml.

76

77 **Processing and Data Analysis**

78 The data were grouped according to the purpose and type of data, then, they were statistically
79 analyzed using SPSS software for Windows ver. 23.0. The normality test showed that the data distribution
80 was abnormal, hence, the Mann-Whitney and the Spearman correlation test were used (Sig. $p \leq 0.05$).

81

82 **Results**

83 Observations were made to determine serum and vitreous TGF- β 1 levels in patients with PDR with or
84 without laser PRP. It was performed on 14 respondents with PDR and had experienced vitrectomy surgery.
85 The univariate data presented in Table 1 shows that the study subjects consisted of 57.1% males and 42.9%
86 females with a mean age of 51.8 years. The most common comorbid disease was dyslipidemia with a
87 prevalence of 50.0%, the mean serum TGF- β 1 level was 12,821.43 \pm 5,253.16 pg/ml, while the mean value
88 in vitreous was 3,692.86 \pm 333.89 pg/ml.

89

90 **Table 1 Descriptive data of samples**

Characteristics	Variable	N	(%)	Description
Gender	Male	8	57.1	
	Female	6	42.9	
Age	<50 years	3	21.4	
	\geq 50 years	11	78.6	
Comorbidity	Dyslipidemia	7	50.0	
	Hypertension	6	42.9	
	No comorbid	1	7.1	

Serum TGF-β1 levels							
With PRP Laser Therapy	≤12000 pg/ml	3	21.4	Mean of serum TGF-β1 levels of all patients (laser and non-laser): 12,821.43 ± 5,253.16			
	>12000 pg/ml	5	35.7				
Without PRP Laser Therapy	≤12000 pg/ml	4	28.6				
	>12000 pg/ml	2	14.3				
Vitreous TGF-β1 levels							
With PRP Laser Therapy	≤3,600 pg/ml	6	42,9			Mean TGF-β1 serum of all patients (laser and non-laser): 3,692.86 ± 333.891	
	>3,600 pg/ml	2	14,3				
Without PRP Laser Therapy	≤3,600 pg/ml	1	7,1				
	>3,600 pg/ml						

91
92 Table 2 shows the comparison of TGF-β1 levels between patients treated with and without PRP laser
93 therapy. The statistical calculations showed no significant association with $p > 0.05$ between serum and vitreous
94 TGF-β1 levels in patients with PDR with or without a history of PRP laser therapy. However, there was a
95 decreasing trend in TGF-β1 levels in the vitreous fluid which indicates that PRP laser therapy has a positive
96 effect on preventing the formation of neovascularization in the eye.
97

98 **Table 2. Comparative Analysis of Serum and Vitreous TGF-β1 Levels**

Variable	Laser History	n	Mean	SD	p*
TGF Serum	Yes	8	14,187.5	5,338.9	0.245
	No	6	11,000.0	4,987.6	
TGF Vitreous	Yes	8	3,587.5	352.3	0.104
	No	6	3,833.3	273.3	

99 *Mann-Whitney test

101 Discussion

102 The number of male patients in this study was more than females, while the mean age was 51.8 years
103 and the most common comorbid disease was dyslipidemia. According to Jeffrey G et al (2011), this is because
104 the development of DR in women can be inhibited by sex hormone receptors. The PDR development can be
105 inhibited by inhibiting hormone receptors, although this mechanism is still unclear.(15) Meanwhile, the age
106 group above 50 years and a history of metabolic syndrome has been reported to be one of the risk factors in
107 the development of DM and PDR.(16)

108 The mean value of TGF-β1 levels in both groups of patients with or without PRP laser therapy using
109 a vitreous sample was 3,692.86 ± 333.89 pg/ml, while the mean serum level was 12,821.43 ± 5,253.16 pg/ml
110 (Table 1). However, after therapeutic treatment (Table 2), the vitreous TGF-β1 level in the group treated with
111 laser therapy showed lower values compared to patients who did not receive PSP laser therapy. In contrast,
112 the serum samples showed higher values in patients that received PRP laser therapy. Consequently, it was
113 concluded that the administration of laser therapy has a good effect in reducing the level of TGF-β1 locally in
114 the eye but has no significant effect systemically.(17) Administration of PRP laser therapy improves the
115 hypoxic state of the retina and the levels of cytokines in the vitreous fluid, thereby preventing proliferation and
116 further neovascularization.(18) Shimura et al. (2009) stated that PRP laser therapy before vitrectomy surgery
117 can reduce levels of angiogenic factors such as VEGF, IL-6, and TGFβ.(19)

118 TGF-1 is a polypeptide cytokine of the transforming growth factor beta family. It is a secreted protein
119 that regulates cell growth (Cell cycle regulation and death), cell proliferation, cell differentiation, and tumor
120 suppression. (20–22) TGF-β is released into the extracellular matrix as a latent protein complex integrated to
121 a latency-associated protein and one of four TGF-β binding protein isoforms. TGF-β activation, which is
122 necessary for biological activity, happens via poorly known mechanisms that most likely include proteolytic
123 degradation of the related proteins and release of the TGF-β ligand. TGF-β1 is the most common and widely
124 expressed isoform; most research have explored or used exogenous TGF-β1 as biomarker variable. (23)

125 It has been proposed that pericyte control of endothelial cell proliferation occurs by activation of
126 pericyte-secreted latent TGF-β, which essentially entails proteolytic release of TGF- β from its binding protein.

127 Furthermore, among the six known TGF- β subtypes, only TGF- β 1 is inhibitory for endothelial cells, and even
128 then only for particular kinds of endothelial cells.(24)

129 In order to fulfil the tissue's oxygen demands, the retina's oxygenation status controls different growth
130 factors that induce angiogenesis. Sustained hyperglycaemia caused by long-term diabetes causes many
131 metabolic changes that contribute in retinal hypoxia. It would, however, promote some biomarkers such as
132 insulin-like growth factor-I (IGF-I), platelet-derived growth factor (PDGF), vascular endothelial growth factor
133 (VEGF), endothelin (ET), pigment epithelium-derived factor (PEDF), and transforming growth factor- β (TGF-
134 β). (25,26)

135 TGF- β signalling is necessary for retinal pericyte differentiation during retinal vascular development.
136 The absence of TGF- β signalling results in the production of many microaneurysms, leaky capillaries, and
137 retinal haemorrhages. Furthermore, the absence of differentiated pericytes begins a scenario of structural and
138 functional alterations in the retina that are similar to those seen in diabetic retinopathy, indicating a related
139 mechanism.(27)

140 TGF- β is a neuroprotective protein that contributes in the recovery from diverse neural damage. The
141 activation of stress response proteins and the metabolic activity, such as aldehyde dehydrogenase 3A1
142 (ALDH3A1), hemeoxygenase-1 (HO-1), nuclear factor erythroid 2-related factor (Nrf2), and hypoxia-inducible
143 factor (HIF), was linked to TGF- β 1 mediated antioxidant signalling. It was also shown that TGF- β protects
144 retinal ganglion cells (RGCs) from hyperglycaemia-induced damage by activating the antioxidant system,
145 implying a possible anti-diabetic therapy for the treatment of diabetic retinopathy.(28)

146 According to Zorena et al. (2013), the threshold serum TGF- β 1 values that showed a discriminative
147 potential to predict the existence of DR were 443 pg/ml. It was determined by analyzing the receiver operating
148 characteristic (ROC) curves. The computed sensitivity and specificity were 72% and 88%, respectively. TGF-
149 β 1 serum concentrations may be an additional criterion in predicting the incidence of DR, according to these
150 findings.(29)

151 Serum TGF- β 1 levels can be influenced by various other factors such as hypertension and
152 dyslipidemia. (30,31) In conditions of hyperglycemia, TGF- β 1 levels also increase, this is in line with several
153 other studies which stated that elevated levels of TGF- β 1 are also found in other systemic diseases such as
154 diabetic nephropathy, lung and autoimmune diseases, cancer, cardiovascular disease, hyperglycemia, and
155 hypercholesterolemia.(20,32–34)

156 Moreover, there are also a correlation between laser burning and duration on TGF- β 1 levels.
157 Measurement of laser timing in study by Xu et al. (2018) showed that after PRP laser therapy, TGF- β 1 levels
158 in the vitreous returns to normal in 3 weeks.(35) Previous study also showed that laser burn ranging from
159 1,200-1,500 and a spot size of 500 μ m reduces oxygen demand outside the retina up to 20% as well as the
160 levels of growth factors in the vitreous.(36) The number of burns in this range can be beneficial as it balance
161 oxygen demand and availability to reduce hypoxic areas. Consequently, this lowers the levels of angiogenic
162 factors including TGF- β 1.(37,38) Meanwhile, the number of burn calculation is expected to provide information
163 about the effect of PRP laser therapy which is believed to prevent severe visual loss for up to 2 years after
164 therapy.(39,40)

165

166 Conclusion

167 Based on the results, there was no significant difference in serum and vitreous TGF- β 1 levels between
168 subjects with and without PRP laser therapy. However, the trend of TGF- β 1 levels in subjects with PRP laser
169 therapy was lower than those without panretinal photocoagulation laser therapy.

170

171 Acknowledgments

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173 and JEC Orbita who were involved in the preparation, treatment and follow up of this patient.

174

175 Disclosure

176 *Statement of Ethics*

177 This study protocol was reviewed and approved by The Ethics Committee of Medical Research, Faculty of
178 Medicine, Hasanuddin University with approval number: 515/UN.4.6.4.5.31/PP36/2021.

179
180 **Conflict of Interest Statement**
181 The authors state there is no conflict of interest in writing this article.
182
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186 **Data availability statement**
187 Not applicable
188
189 **Author Contributions**
190 HSM, RZA, AMI: conception or design of the work, performing the medical examination, analysis and
191 interpretation of the data, laboratory examination and drafting the work. BD, JS: Supervision and quality check
192 of the medical examination, caring for patients, performing follow-up after surgery. AS, ICI: project
193 administration, data statistic evaluation, drafting the work and final check for publication.
194
195

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290 conventional lasers for diabetic retinopathy treatment. *Turk Oftalmoloji Derg.* 2017;47(1):34–41.
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295

RESPONSE TO EDITOR AND REVIEWERS

Dear Editor and Reviewers,

Thank you very much for your kind advice and suggestions.

Here are attached point by point response regarding our manuscript submission:

1. Ethical clearance number/statement and/or informed consent at the end of the manuscript (Confirmed).
2. Please state your conflict of interest in the paper. (Confirmed)
3. Please state the funding (if any) in your paper. (Confirmed)
4. Please state each author's contribution. (Confirmed)
5. Based on our proofreading application, we detected 76 critical grammatical errors.
Response: Thank you for your information. Based on your suggestion, we agree to send our manuscript to REVISE for grammar editing and agree with the payment. Could you please inform us how to send it to REVISE?
6. Please describe the reason why this article evaluates specifically TGF- β 1 instead of another marker.
Response: The selection of the TGF- β 1 molecule as a marker in this study was based on the theory that TGF- β 1 is a polypeptide member of the transforming growth factor beta family of cytokines. It is a secreted protein that performs many cellular functions, including the control of cell growth, cell proliferation, cell differentiation, and apoptosis (this statement was also added to our revised manuscript line 119-126). As far as the literature search that we did using the pubmed and medline databases, there were only about 247 studies discussing this biomarker where the relationship between TGF- β 1 and proliferative diabetic retinopathy was only 77 articles in the last 10 years. The lack of data and the important role of this biomarker are two main reasons for us to select this marker as our research variable.
7. Please describe the selection of 14 patients in this study. Is there any randomization?
Response: In this study, we used a purposive sampling method in determining the sample without randomization. We realize that the limitation of our study is small of samples who met the inclusion criteria. Therefore, we suggest that the further study should recruit more samples and do randomization.
8. The Discussion was too short, please add more discussion regarding this study result.
Response: In this study, we have added some discussion about the overview of the TGF-B molecule (manuscript line 119-130), the relationship between the number of lasers burns and post-laser duration in influencing TGF-B1 levels (manuscript line 131-141) and the relationship between the outcome of PDR patients with serum and vitreous TGF-B1 levels (manuscript line 142-167).

We also attached a revised manuscript file.

If you have any other suggestions, please do not hesitate to inform us.

Thank you.

Best regards,

Andi Muhammad Ichsan